

# Department of Corrections

FY 2013 Revised

FY 2014 Budget

FY 2014 – FY 2018 Capital Budget

Staff Presentation

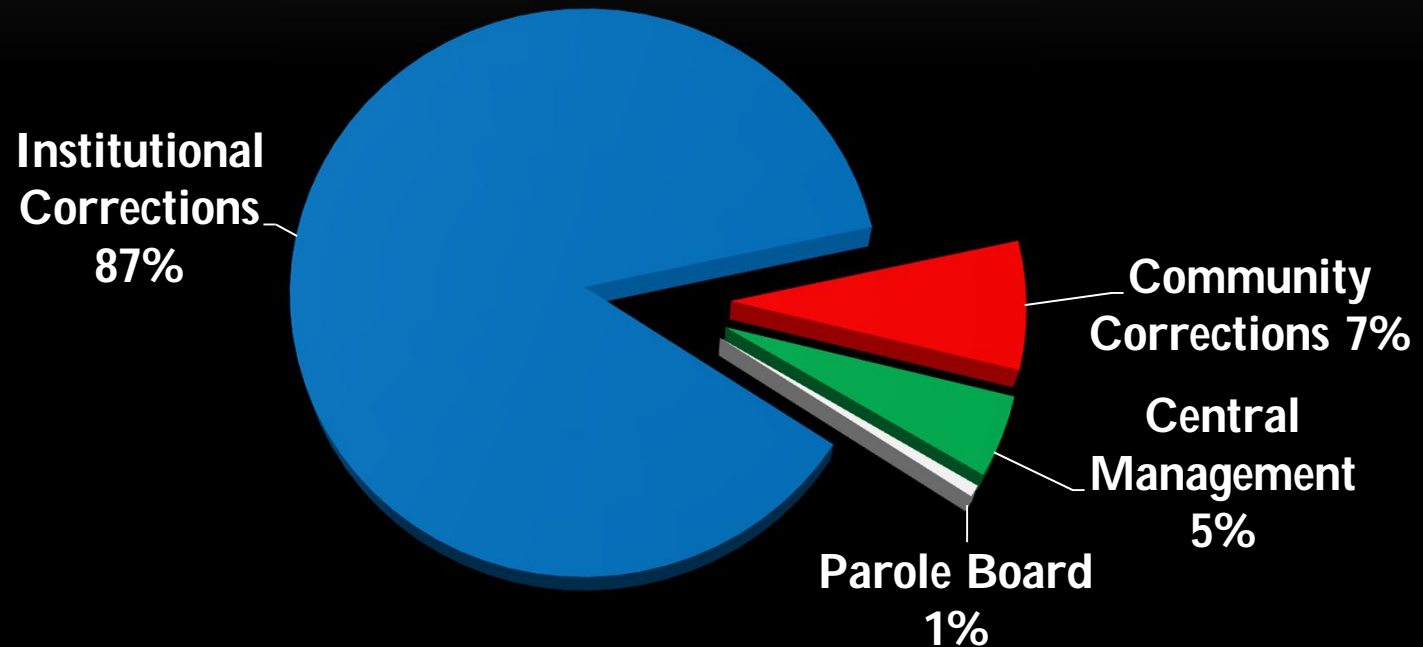
March 12, 2013

- The Department of Corrections provides a comprehensive correctional program for all incarcerated adult offenders and those requiring other forms of supervision
- Every adult under correctional supervision, including pre-trial detainees, sentenced inmates, and offenders on probation, parole, and home confinement falls within the Department's supervision

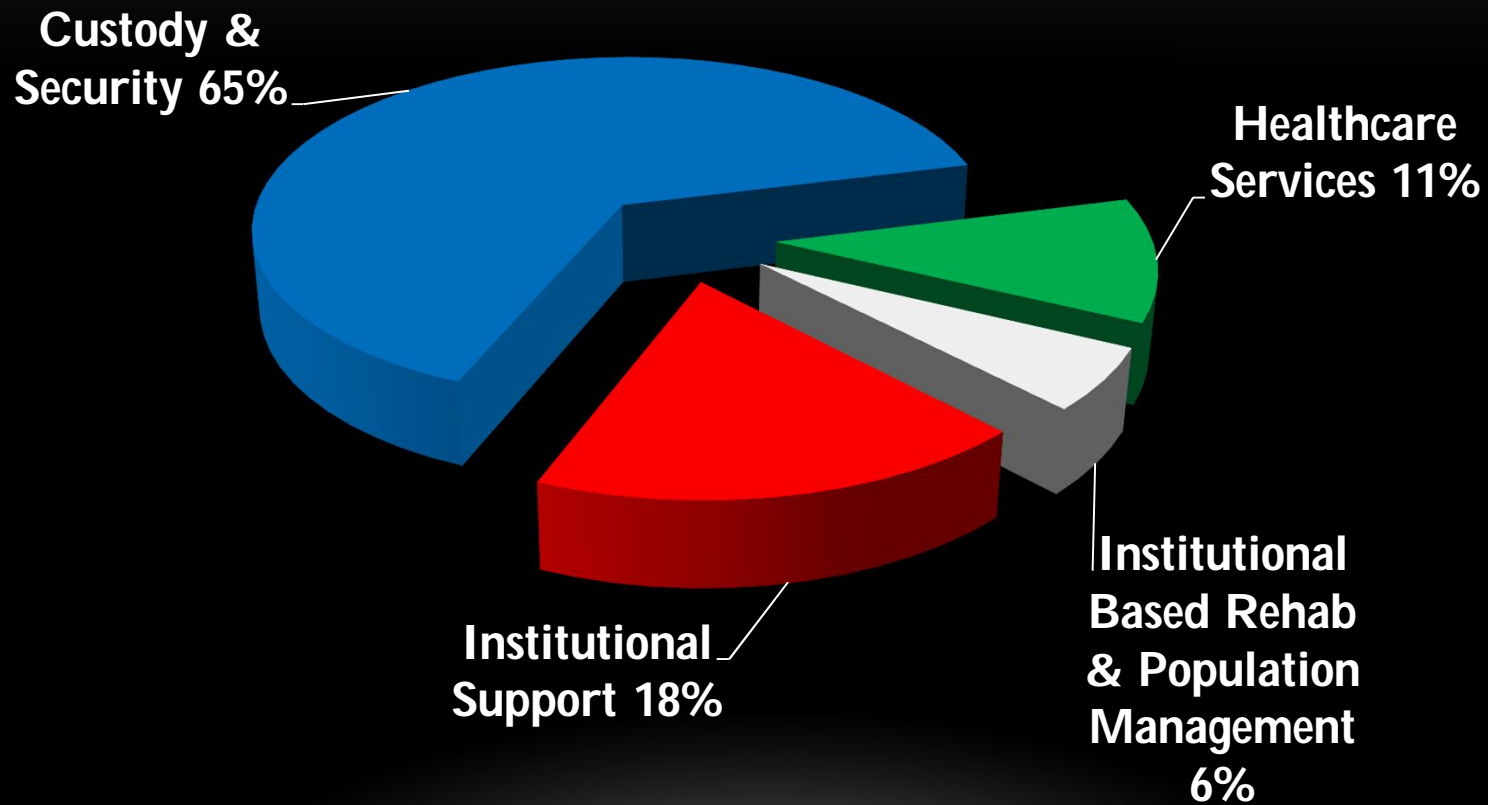
# Major Programs

- Institutional Corrections (7 Facilities)
  - ✓ Custody & Security
  - ✓ Institutional Support
  - ✓ Institutional Based Rehab / Population Management Programs
  - ✓ Healthcare Services
- Community Corrections
- Central Management
- Parole Board

# FY 2014 Governor by Program



# FY 2014 Institutional Corrections



# Recommendation by Source

(In Millions)	General Revenues	Federal Funds	Restricted Receipts	RICAP	Total
13 Enacted	\$183.3	\$2.0	\$0.1	\$11.4	\$196.7
13 Governor	\$184.5	\$3.0	\$0.1	\$9.7	\$197.4
<b>13 Chg. to Enacted</b>	<b>\$1.3</b>	<b>\$1.0</b>	<b>-</b>	<b>(\$1.7)</b>	<b>\$0.6</b>
14 Governor	\$185.9	\$1.8	\$0.1	\$17.2	\$204.9
<b>14 Chg. to Enacted</b>	<b>\$2.6</b>	<b>(\$0.2)</b>	<b>-</b>	<b>\$5.8</b>	<b>\$8.2</b>

# Population

- Majority of expenditures are for staffing
- Greatest savings occur when population reductions allow for the closure of housing units and staff posts
- Previous population levels required continuous operation of all housing units, but currently there are three modules closed
  - ❖ 1 in Intake & 2 in Minimum

# Population

- Maximum capacity of the prison is governed by the terms of an overcrowding lawsuit
- State reached agreement with federal courts in 2007 to increase capacity from 4,085 to 4,265
- Reduced capacity to 3,869
  - ❖ 360 from Donald Price facility closure 11/2011
  - ❖ 36 from transfer of female population



# Population

- FY 2013 Governor's Revised budget
  - ❖ 3,146 inmates or 48 less than enacted
    - ✓ As requested
- FY 2014 Governor
  - ❖ 3,152 inmates or 42 less than enacted
    - ✓ As requested
- Average as of end of February is 3,185 inmates

# Population Estimates

	<b>FY 09</b>	<b>FY 10</b>	<b>FY 11</b>	<b>FY 12</b>	<b>FY 13</b>	<b>FY 14</b>
Request	3,803	4,008	3,709	3,416	3,231	3,152
<b>Governor</b>	<b>3,848</b>	<b>4,008</b>	<b>3,643</b>	<b>3,416</b>	<b>3,231</b>	<b>3,152</b>
<b>Enacted</b>	<b>3,848</b>	<b>3,767</b>	<b>3,450</b>	<b>3,239</b>	<b>3,194</b>	-
Rev. Req.	3,869	3,669	3,350	3,264	3,146	-
<b>Gov. Rev.</b>	<b>3,869</b>	<b>3,659</b>	<b>3,350</b>	<b>3,265</b>	<b>3,146</b>	-
Final	3,788	3,551	3,273	3,192	-	-
<i>Actual</i>	<i>3,773</i>	<i>3,502</i>	<i>3,273</i>	<i>3,191</i>	-	-

# Population

- Maximum Capacity includes all beds
  - ❖ Also referred to as Design Capacity
    - ✓ Federal Court-Ordered Capacity
- Operational Capacity is calculated by subtracting hospital beds and one-third of segregation beds from the maximum capacity

# Population

<b>Fiscal Years</b>	<b>Avg. Pop.</b>	<b>Capacity Max.</b>	<b>Operat.</b>	<b>Empty - Operat.</b>	<b>Vacancy Rate Operat.</b>
2009	3,773	4,265	4,004	231	5.8%
2010	3,502	4,265	4,004	502	12.5%
2011	3,273	4,265	4,004	731	18.3%
2012	3,191	4,022*	3,770*	579	15.4%
2013 Enact.	3,194	3,976	3,774	580	15.4%
2013 Rev.	3,146	3,976	3,774	628	16.6%
2014 Rec.	3,152	3,976	3,774	622	16.5%

\*Donald Price Medium Security Facility closed 11/11

# FY 2012 Population

Facilities	Avg. Pop.	Capacity Max.	Capacity Operat.	Empty - Operat.	Vacancy Rate Operat.
High	90	166	138	48	34.8%
Maximum	432	466	409	(23)	(5.6%)
Med. Moran	1,014	1,066	1,006	(8)	(0.8%)
Med. Price	45*	131*	118*	73*	61.9%*
Intake	956	1,148	1,118	162	14.5%
Minimum	486	710	708	222	31.4%
Women's	133	213	173	40	23.1%
Work Rel.	35	122	100	65	65.0%
<i>Average</i>	<i>3,191</i>	<i>4,022</i>	<i>3,770</i>	<i>579</i>	<i>15.4%</i>

\*Donald Price Medium Security Facility closed 11/11

# FY 2013 Population

## *(Through Feb 28)*

Facilities	Avg. Pop.	Capacity Max.	Operat.	Empty - Operat.	Vacancy Rate Operat.
High	98	166	138	40	29.0%
Maximum	439	466	409	(30)	(7.3%)
Med. Moran	1,017	1,106*	1,046*	29	2.8%
Intake	1,055	1,148	1,118	63	5.6%
Minimum	397	710	708	311	43.9%
Women's	133	213	173	40	23.1%
Work Rel.	46	100	100	54	54.0%
<b>Average</b>	<b>3,185</b>	<b>3,909</b>	<b>3,774</b>	<b>589</b>	<b>15.6%</b>

\*Double-bunk beds at Moran Medium Facility, increased capacity by 40 beds

# FY 2014 Changes to Enacted

	Gen Rev	Total	FTEs
<i>FY 2013 Enacted</i>	\$183.3	\$196.7	1,419.0
Population: Per Diem	0.2	0.2	-
Salaries and Benefits	2.4	2.4	-
Other Changes	(0.1)	(0.2)	-
Capital	-	5.8	-
<b>Total Change</b>	<b>\$2.6</b>	<b>\$8.2</b>	<b>-</b>
<i>FY 2014 Governor</i>	\$185.9	\$204.9	1,419.0

# Population: Per Diem

- Population related expenditures that are calculated on a per diem basis, excluding staffing
  - ❖ Food, medical, linens, other operating supplies
- FY 2013 Enacted includes
  - ❖ \$3,702 per inmate
  - ❖ \$11.8 million



# Population: Per Diem

## ➤ Governor's Recommendation

- ❖ \$3,817 per inmate for FY 2014

  - ✓ \$115 more than enacted; \$229 less than requested

  - ✓ \$12.0 million total

- ❖ \$0.2 million more than enacted

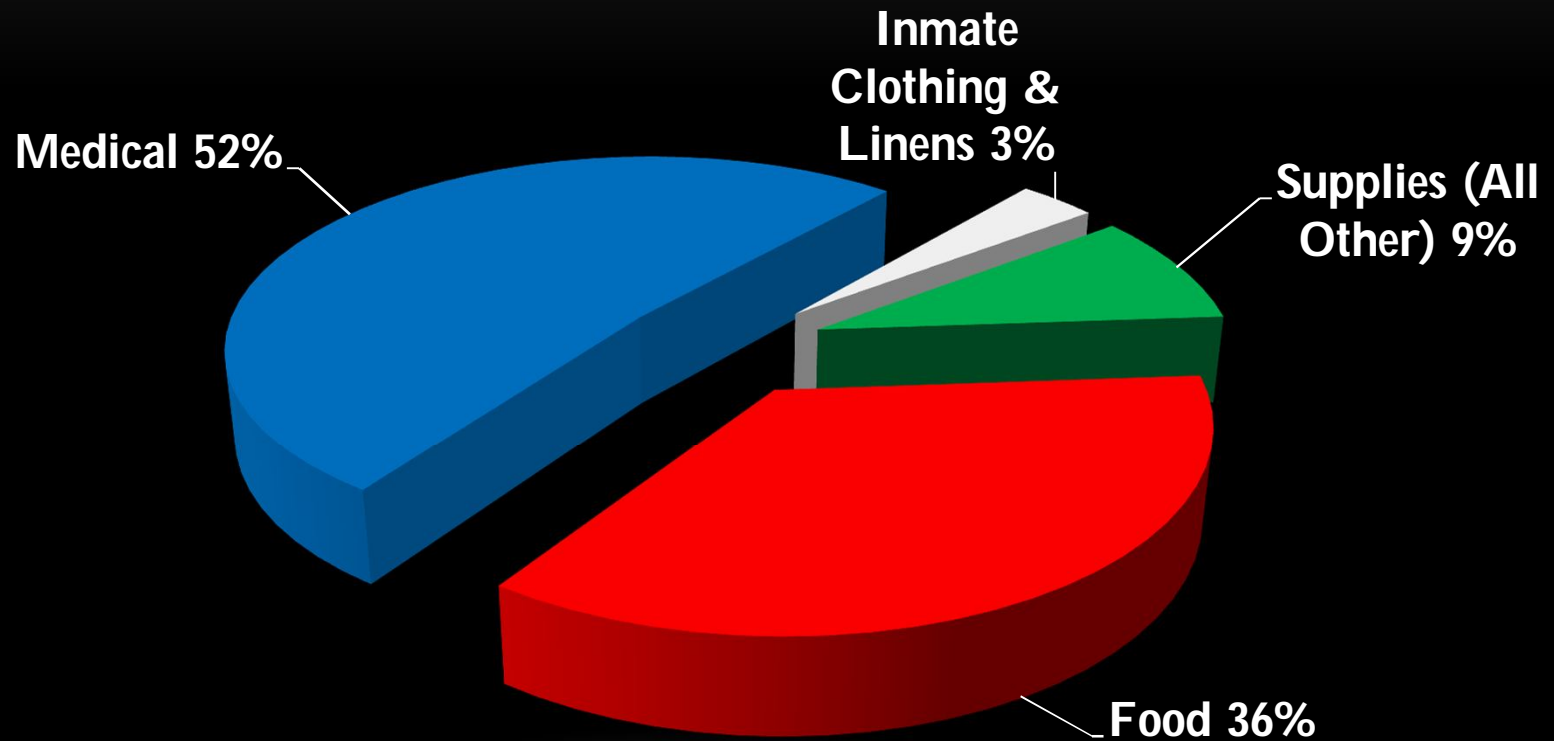
- ❖ \$3,611 per inmate for FY 2013 revised

  - ✓ \$91 less than enacted; \$405 less than requested

  - ✓ \$11.4 million total

- ❖ \$0.4 million less than enacted

# Population: Per Diem



# Population: Per Diem

## *Costs Per Inmate*

Year	Annual Cost per Inmate	Change to Prior Year
FY 2014 Governor	\$3,817	\$115
FY 2014 Request	4,046	(344)
FY 2013 Gov. Rev.	3,611	(91)
FY 2013 Rev. Req.	4,016	314
<b>FY 2013 Enacted</b>	<b>3,702</b>	<b>(415)</b>
FY 2012	4,117	436
FY 2011	3,681	(76)
FY 2010	3,757	(117)
FY 2009	3,874	(16)

# Population: Per Inmate

Fiscal Year	Annual Cost per Inmate
FY 2014 Governor	\$56,785
FY 2014 Request	58,168
FY 2013 Gov. Rev.	54,684
FY 2013 Revised	55,975
<b>FY 2013 Enacted</b>	<b>53,657</b>
FY 2012	51,979
FY 2011	49,160
FY 2010	43,605
FY 2009	41,434

# FY 2014 Changes to Enacted

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Capital	-	5.8	-
<b>Total Change</b>	<b>\$2.6</b>	<b>\$8.2</b>	<b>-</b>
<i>FY 2014 Governor</i>	\$185.9	\$204.9	1,419.0

# Salaries and Benefits

## *Overtime Costs History*

<b>Fiscal Years</b>	<b>Overtime Expenses</b>
2008	\$42,225,900*
2009	\$19,600,223
2010	\$24,245,730
2011	\$23,160,744
2012	\$20,208,679

\*FY 2008 includes retro payments based on arbitration

# Salaries and Benefits

## *Overtime Hours*

<b>Position Titles</b>	<b>FY 2011</b>	<b>%</b>	<b>FY 2012</b>	<b>%</b>
Officer	529,621	90.0%	425,350	88.2%
Lieutenant	46,443	7.9%	43,229	9.0%
Captain	12,273	2.1%	13,599	2.8%
<b>Total</b>	<b>588,337</b>	<b>100.0%</b>	<b>482,178</b>	<b>100.0%</b>

# Salaries and Benefits

## *Overtime Hours*

<b>Position Titles</b>	<b>FY 2012 Pay Periods 1-5</b>	<b>FY 2013 Pay Periods 1-5</b>	<b>Change from FY 2012</b>
Officer	115,226.4	82,392.3	(32,834.1)
Lieutenant	8,783.7	7,804.4	(979.3)
Captain	2,900.3	2,386.0	(514.3)
<b>Total</b>	<b>126,910.4</b>	<b>92,582.7</b>	<b>(34,327.7)</b>



# Salaries and Benefits

## *Overtime*

- FY 2013 enacted includes \$14.2 million from general revenues for overtime expenses
  - ❖ Includes reduced costs from 28.0 additional entry-level correctional officers
- FY 2012 overtime expenses were \$21.9 million
  - ✓ Nearly all from general revenues

# Salaries and Benefits

## *Overtime*

- The Governor recommends \$20.4 million for overtime expenses for FY 2013
  - ❖ \$21.1 million when include impact of Hurricane Sandy
    - ✓ \$6.9 million more than enacted
- FY 2014 recommendation \$15.5 million for overtime expenses
  - ✓ \$1.3 million more than enacted

# Salaries and Benefits

## *Overtime*

- FY 2013 enacted includes savings \$10.5 million from closing Medium Price facility
  - ❖ \$1.5 million less than initially anticipated
    - ✓ Additional overtime & facility maintenance expenses
    - ✓ Facility closed on November 12, 2011
    - ✓ Savings is primarily from overtime reduction

# Salaries and Benefits

## *Overtime*

- FY 2013 revised assumes savings of \$6.9 million
  - ❖ \$3.6 million less than enacted expectation
    - ✓ \$5.1 million less than initial assumption
    - ✓ Additional overtime expenses
- For FY 2014 the Governor assumes savings of \$9.2 million
  - ❖ \$1.3 million less than enacted expectation

# Salaries and Benefits

## *Hurricane Sandy*

- October 2012: 2 operation shut-down days
  - ✓ October 29 & 30
  - ❖ 24-hour staffing requirements led to increased overtime expenses
- Governor recommends
  - ❖ One-time appropriation of \$730,000 from general revenues for FY 2013

# Salaries and Benefits

## *New Officer Class – OT Savings*

<b>New Officer Class Cost</b>	
New Officers	24.0
Cost Per Officer	\$94,952
<b>Total Costs</b>	<b>\$2,278,858</b>
<b>Overtime Savings</b>	
Cost Per OT Hour	\$53.93
Saved OT Hours	43,200
<b>Total Savings</b>	<b>(\$2,329,909)</b>
<b>Net</b>	<b>(\$51,051)</b>

# Salaries and Benefits

## *All Other*

- Governor recommends \$143.3 million from all funds for all other salary and benefit expenditures
  - ❖ \$1.1 million more than enacted for updated benefit rates based on new planning values
    - ✓ Offset by \$1.0 million transferred to Correctional Industries
- Governor includes \$137.7 million from all funds for FY 2013

# Salaries and Benefits

## *Correctional Industries Transfers*

- Governor recommends savings of \$1.0 million from general revenues
  - ❖ Transfer supervision costs for work crews to Correctional Industries internal service account
    - ✓ This transfer of funds is reflected in both FY 2013 and FY 2014
    - ✓ These costs were previously supported by general revenues



# Salaries and Benefits

## *Funded Positions*

- Enacted includes savings of \$5.9 million from turnover and appears to fund 1,365.3 positions
- Governor's FY 2013 revised budget assumes \$7.6 million in turnover savings and appears to fund 1,351.9 positions

# Salaries and Benefits

## *Full-Time Equivalent Positions*

Full-Time Positions	FTEs	FTE Chg. to Enacted
Enacted Authorized	1,419.0	-
FY 2013 Revised Request	1,419.0	-
FY 2014 Request	1,419.0	-
FY 2014 Governor	1,419.0	-
FY 2012 Average Filled	1,348.8	(70.2)
Filled as of March 9	1,351.0	(68.0)

# Salaries and Benefits

## *General Revenue Target Reduction*

- Department proposed 5 reduction items
  - ❖ Sell Donald Price Facility for \$8.6 million
  - ❖ Close High Security Center for \$5.0 million
  - ❖ Expand Corrections Options for \$1.3 million
  - ❖ Eliminate New Officer Class for \$0.6 million
  - ❖ Eliminate lawn Maintenance for \$0.2 million
    - ✓ These proposed items total \$15.8 million
- The proposed items were not recommended

# FY 2014 Changes to Enacted

	Gen Rev	Total	FTEs
<i>FY 2013 Enacted</i>	\$183.3	\$196.7	1,419.0
Population: Per Diem*	0.2	0.2	-
Salaries and Benefits*	2.4	2.4	-
Other Changes*	(0.1)	(0.2)	-
Capital*	-	5.8	-
<b>Total Change</b>	<b>\$2.6</b>	<b>\$8.2</b>	<b>-</b>
<i>FY 2014 Governor</i>	\$185.9	\$204.9	1,419.0

# Other Changes

<b>Change to Enacted</b>	<b>Gen. Rev.</b>	<b>Total</b>
SCAAP*	(\$61,401)	\$ -
Technical Expert*	35,000	35,000
Inmate Services*	145,958	145,958
Recruitment and Training*	1,747	1,747
Federal Awards	-	(194,422)
All Other miscellaneous	(214,213)	(212,526)
<b>Total Change</b>	<b>(\$92,909)</b>	<b>(\$224,243)</b>

# SCAAP

- State Criminal Alien Assistance Program:
  - ❖ Formula grant for incarcerating undocumented individuals with state and/or local charges
- FY 2013 grant award \$0.7 million
  - ❖ FY 2013 enacted assumed \$0.6 million
  - ❖ Governor's budget includes a reduction of \$61,401 from general revenues for FY 2014
    - ✓ Reduction of \$34,156 is recommended for FY 2013

# Technical Expert

- Expert witness on suicide prevention for ongoing legal matters, not included as part of the FY 2013 enacted budget
  - ❖ FY 2014 includes \$35,000 from general revenues
  - ❖ FY 2013 revised includes \$62,625 from general revenues

# Inmate Services

- FY 2014 and FY 2013 revised recommendation
  - ❖ \$145,958 from general revenues, as requested
    - ✓ Increases for education services contracts
    - ✓ Rehabilitative services
    - ✓ Not included as part of the FY 2013 enacted budget



# Recruitment and Training

- FY 2014 recommendation
  - ❖ \$726,698 from general revenues, as requested
    - ✓ \$1,747 more than enacted
    - ✓ Postage, printing, recruitment materials
- FY 2013 revised recommendation
  - ❖ \$669,648 from general revenues, as requested
    - ✓ \$55,303 less than enacted
    - ✓ Reduced training related expenses based on fewer recruits

# Federal Awards

## *Recidivism Reduction Grant*

- FY 2013 revised recommendation
  - ❖ \$0.7 million from federal funds
    - ✓ New project grant, federal Second Chance Act
    - ✓ Develop & implement strategies to address challenges of offender re-entry & recidivism reduction
    - ✓ Enhance transitional services
  - ❖ \$45,094 recommended for FY 2014
    - ✓ Not included in the enacted

# Federal Awards

<b>Other Federal Grants</b>	<b>Enacted</b>	<b>Gov. Rec.</b>
Family Focus Initiative	\$139,066	\$48,081
Young Adult Sex Offender	64,816	16,986
Sex Offender Mgmt. Task Force	31,270	-
Family Reunification Programming	62,263	32,197
Scholarship for Women Releases	29,393	-
Remedial Math & Reading	124,482	96,420
<b>Total</b>	<b>\$451,290</b>	<b>\$193,684</b>

# Federal Sequestration

- Potential reduction to educational programs funded from Justice Assistance Grants
  - ❖ Approximately \$68,000 funding reduction
    - ✓ May impact 3.0 positions

# All Other Operations

- Governor's budget includes \$25.7 million from all funds for all other operations
  - ❖ \$213,187 less than enacted
    - ✓ \$107,884 for Court overhead charges
    - ✓ Increased funding for vehicle lease costs, utilities, cleaning supplies, technology support, snow removal, & other miscellaneous expenses
  - ❖ Offset by recommended general operations reduction, as proposed by the Department

# FY 2014 Changes to Enacted

	Gen Rev	Total	FTEs
<i>FY 2013 Enacted</i>	\$183.3	\$196.7	1,419.0
Population: Per Diem*	0.2	0.2	-
Unachieved Price Savings	(0.3)	(0.3)	-
Salaries and Benefits*	5.5	5.5	-
Other Changes*	0.4	0.3	-
Capital*	-	8.9	-
<b>Total Change</b>	<b>\$2.6</b>	<b>\$8.2</b>	<b>-</b>
<i>FY 2013 Governor</i>	\$185.9	\$204.9	1,419.0

# Capital Projects

- Governor recommends 9 projects totaling \$100.2 million
  - ❖ \$87.8 million from RICAP
    - ✓ \$12.4 million from federal funds
- 6 revised projects and 3 ongoing projects
  - ❖ \$49.8 million from RICAP for FY 2014 – FY 2018
    - ✓ \$1.7 million less than enacted for FY 2013
    - ✓ \$5.8 million more than enacted for FY 2014

# Capital Projects

<b>Project</b>	<b>Status</b>	<b>Cost</b>	<b>Funds</b>	<b>Finish</b>
Medium Infrastructure	Revised	\$11.7M	RICAP	FY 2018
Minimum Security Renovations	Revised	\$10.3M	RICAP	FY 2016
Women's Roof, Masonry & General Renovations	Revised	\$7.9M	RICAP	FY 2017
Intake Service Center Renovations	Revised	\$6.2M	RICAP	FY 2016



# Capital Projects

Project	Status	Cost	Funds	Finish
Bernadette Guay Building Renovations	Revised	\$2.5M	RICAP	FY 2017
Women's Plumbing & Bathroom Renovations	Revised	\$1.8M	RICAP	FY 2013
Maximum General Renovations	Ongoing	\$4.6M	RICAP	FY 2016
Asset Protection	Ongoing	\$34.5M	RICAP	Annual
Women's Facility (Formerly, Reintegration Center)	Ongoing	\$20.7M	RICAP /FF	Post-FY 2018

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